

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

APPARATUS AND METHOD FOR PARAMETRIC GROUP PROCESSING

the specification of which:

☒ [X] is attached hereto and bears the attorney docket no. VRTY-001/00US.

[] was filed as United States Application Serial No. _____ on _____, and was amended on _____ (if applicable);

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information which is known to me to be material to the patentability of said invention in accordance with 37 C.F.R. §1.56;

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

(Application Number)

(Filing Date) (day, month, year)

(Application Number)

(Filing Date) (day, month, year)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

05851640-050801

Full name of sole or first joint inventor: **Neil LATARCHE**

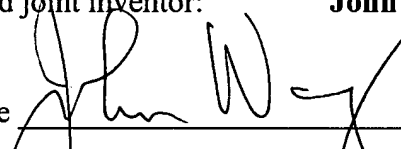
Inventor's signature  Date 4/25/2001

Residence: San Jose, California 95121

Citizen of: United Kingdom

Mailing Address: 2255 Quail Bluff Place, San Jose, California 95121

Full name of second joint inventor: **John WANG**

Inventor's signature  Date 4/23/2001

Residence: San Jose, California 95123

Citizen of: United States of America

Mailing Address: 1035 Coleman Rd., #7214, San Jose, California 95123

09851640-090804
T08050-0495860

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Neil LATARCHE, et al.

Serial No.: Not yet assigned

Examiner: Not yet assigned

Filed: Herewith

Art Unit: Not yet assigned

For: **APPARATUS AND METHOD FOR PARAMETRIC GROUP PROCESSING**

Commissioner for Patents
Washington, D.C. 20231

POWER TO PROSECUTE BY ASSIGNEE AND STATEMENT UNDER 37 C.F.R. §3.73(b)

The undersigned assignee of the entire interest in the above-identified subject application, as evidenced by the attached copy of the Assignment, hereby appoints:

William S. Galliani	33,885	David J. O'Neill	42,953
James P. Brogan	35,833	Jeffrey A. Pade	42,970
Jay P. Chawla	44,073	Frank V. Pietrantonio	32,289
Nathan K. Cummings	46,093	Marya A. Postner	42,085
Shelley P. Eberle	31,411	Michelle S. Rhyu	41,268
Thomas L. Ewing	34,328	Bryan D. Richardson	43,043
Karen E. Flick	44,111	Howard R. Richman	41,451
Alexandra J. Baran	39,101	Traci H. Ropp	44,307
William G. Hughes, Jr.	46,112	Randy V. Sabett	43,074
Christopher R. Hutter	41,087	Gurjeev K. Sachdeva	37,434
Madison C. Jellins	35,555	Allan M. Soobert	36,284
Duane S. Kobayashi	41,122	Wayne O. Stacy	45,125
Brian M. Koide	41,123	C. Scott Talbot	34,262
Marcella Lillis	36,583	Rick A. Toering	43,195
Thomas W. Lynch	42,820	Noel B. Whitley	42,225
Erik B. Milch	42,887	Michael A. Wrenn	42,237
Tom M. Moran	26,314	Alan A. Wright	40,428
Richard L. Neeley	30,092	Kevin J. Zimmer	36,977

all of the firm of Cooley Godward LLP, as its attorneys to prosecute this application and to transact all business in the Patent Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of Rule 36 of the Patent Office Rules of Practice.

The assignee certifies that to the best of its knowledge and belief it is the owner of the entire right, title, and interest in and to the above-identified application as evidenced by:


- ☒ An assignment document, a copy of which is enclosed herewith;
- ☐ An assignment previously recorded in the U.S. Patent and Trademark Office at Reel _____, Frame _____.

Please direct all telephone calls and correspondence to:

COOLEY GODWARD LLP
 Attention: Patent Group
 Five Palo Alto Square
 3000 El Camino Real
 Palo Alto, CA 94306-2155
 Telephone: (650) 843-5000
 Facsimile: (650) 857-0663

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date: Apr. 23, 2001

Signature: 

Name: **Prabhakar Raghavan, Ph.D.**

Title: **Chief Scientist and Vice President**

Company: **Verity, Inc.**

Address: **894 Ross Drive**

Sunnyvale, California 94089

Applicant: Neil LATARCHE, et al.

Serial No.: Not yet assigned

Filed: Herewith

For: APPARATUS AND METHOD FOR PARAMETRIC GROUP PROCESSING

**ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS UNDER
37 C.F.R. §1.27 - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: **Verity, Inc.**
Address of Small Business Concern: **894 Ross Drive
Sunnyvale, California 94089**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §121 for purposes of paying reduced fees under 35 U.S.C. §§41(a) and (b), in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both;

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention "**Apparatus and Method for Parametric Group Processing**" and described in:

- ☒ the specification filed herewith;
☐ the application identified above; or
☐ the patent identified above;

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. §1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.27(a)(2) and 13 C.F.R. §121 or a nonprofit organization under 37 C.F.R. §1.27(a)(3):


Name:

Address:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. §1.27(g))

Signature



Date

Apr. 23, 2001

Name of Person Signing

Prabhakar Raghavan, Ph.D.

Title of person other than owner

Chief Scientist and Vice President

Address of person signing

**894 Ross Drive
Sunnyvale, California 94089**

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